



PATENT

TECH CENTER 1600/2900

FEB 04 2002

INTELIVU

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(MBHB Ref. No. 00-919-D (RPI Ref. No. 215/154 CON)

In re Application of: Thompson)
App. No.: 09/630,846) Group Art Unit: 1635
Filed: 8 August 2000) Examiner: K. Lacourciere
For: Improved Polymearase III Based)
Expression of Therapeutic RNAs)

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. This application is a continuation of US Serial No. 08/512,861 filed August 7, 1995; which is a continuation-in-part of U.S. Serial No. 08/293,520 filed August 19, 1994 and U.S. Serial No. 08/337,608 filed November 10, 1994 and is relied upon for an earlier filing date under 35 U.S.C. § 120. In accordance with Rule 37 CFR §1.98(d), only copies of references not previously cited and submitted to the Patent and Trademark Office with the prior application USSN 08/512,861 are enclosed for the convenience of the Examiner (document numbers marked with "*" are not enclosed). Copies of all references cited are also listed in the PTO-1449 form enclosed herewith. It is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not

01/24/2002 SFELEKE1 00000083 09630846

01 FC:126

180.00 DP

JAN 18 2002
PATENT & TRADEMARK OFFICE
U.S. DEPARTMENT OF COMMERCE

I believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103.

CITED REFERENCES

U.S. PATENT DOCUMENTS

	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
*	5,208,149	5/4/1993	Inouye			
*	5,324,643	6/28/1994	Greatbatch et al.			

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation
						Yes
*	WO 89/11539	11/30/1989	PCT International			No
*	WO 90/13641	11/15/1990	PCT International			
*	WO 92/07065	4/30/1992	PCT International			
*	WO 93/23569	11/25/1993	PCT International			
*	WO 94/29452	12/22/1994	PCT International			
*	WO 93/11253	6/10/1993	PCT International			
*	WO 94/16736	8/4/1994	PCT International			
*	WO 94/24983	11/10/1994	PCT International			
*	WO 94/02495	2/3/1994	PCT International			
*	WO 93/23057	11/25/1993	PCT International			